

# The de Rham cohomology of a Lie group modulo a dense subgroup\*

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Special Session “Poisson Geometry, Diffeology and Singular Spaces”  
October 5, 2024

**Abstract:** Let  $H$  be a dense subgroup of a Lie group  $G$  with Lie algebra  $\mathfrak{g}$ . We show that the (diffeological) de Rham cohomology of  $G/H$  equals the Lie algebra cohomology of  $\mathfrak{g}/\mathfrak{h}$ , where  $\mathfrak{h}$  is the ideal  $\{Z \in \mathfrak{g} : \exp(tZ) \in H \text{ for all } t \in \mathbf{R}\}$ .

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\* [arXiv:2407.07381](https://arxiv.org/abs/2407.07381).

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Suppose  $X$  is a manifold. Write  $\tau_m$  for the Euclidean topology of  $\mathbf{R}^m$ , and call members of  $\mathcal{P} := \bigcup_{m \in \mathbf{N}, U \in \tau_m} C^\infty(U, X)$  **plots**. Then:

(D1) *Covering*. All constant maps  $\mathbf{R}^m \rightarrow X$  are plots, for all  $m$ .

(D2) *Locality*. Let  $U \xrightarrow{P} X$  be a map with  $U \in \tau_m$ . If every  $u \in U$  has an open neighborhood  $V$  such that  $P|_V$  is a plot, then  $P$  is a plot.

(D3) *Smooth compatibility*. Let  $U \xrightarrow{\phi} V \xrightarrow{Q} X$  be maps with  $(U, V) \in \tau_m \times \tau_n$ . If  $Q$  is a plot and  $\phi \in C^\infty(U, V)$ , then  $Q \circ \phi$  is a plot.

## Definitions (Souriau 1985)

- (a) A **diffeology** on a set  $X$  is a subset  $\mathcal{P}$  of  $\bigcup_{m \in \mathbf{N}, U \in \tau_m} \text{Maps}(U, X)$  satisfying (D1–D3); members of  $\mathcal{P}$  are called **plots**.
- (b) A map  $(X, \mathcal{P}) \xrightarrow{F} (Y, \mathcal{Q})$  between diffeological spaces (: sets with diffeologies) is called **smooth** if  $P \in \mathcal{P}$  implies  $F \circ P \in \mathcal{Q}$ .
- (c) A subset of a diffeological space is ***D*-open**, and a member of the ***D*-topology**, if its preimage by every plot is Euclidean open.
- (d) If  $(X, \mathcal{P}) \xrightarrow{\text{id}} (X, \mathcal{Q})$  is smooth, i.e.  $\mathcal{P} \subset \mathcal{Q}$ , we call  $\mathcal{P}$  **finer**,  $\mathcal{Q}$  **coarser**.

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- (a) By (D1)–(D3), every manifold has a natural *manifold diffeology*. We say a diffeological space *is a manifold* if it can be so obtained.
- (b) Let  $Y$  be a diffeological space and  $i : X \rightarrow Y$  an injection. Then  $X$  has a coarsest diffeology making  $i$  smooth, the *subset diffeology*. Its plots are the maps  $P : U \rightarrow X$  such that  $i \circ P$  is a plot of  $Y$ :

$$\begin{array}{ccc}
 & & Y \\
 & \nearrow^{i \circ P} & \uparrow i \\
 U & \xrightarrow{P} & X,
 \end{array}
 \qquad
 \begin{array}{ccccc}
 & & & & X \\
 & & & \nearrow P & \downarrow s \\
 v \in U & \dashrightarrow & V & \xrightarrow{Q} & Y.
 \end{array}$$

- (c) Let  $X$  be a diffeological space and  $s : X \rightarrow Y$  a surjection. Then  $Y$  has a finest diffeology making  $s$  smooth, the *quotient diffeology*. Its plots are the maps  $Q : V \rightarrow Y$  that have around each  $v \in V$  a 'local lift': a plot  $P : U \rightarrow X$  with  $U$  an open neighborhood of  $v$  and  $s \circ P = Q|_U$ .

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Let us call *ordinary* the  $k$ -forms on Euclidean open sets and manifolds and operations on them (exterior derivative  $d$ , pull-back  $\phi^*$ ).

### Definitions (Souriau 1985)

Let  $X$  and  $Y$  be diffeological spaces.

- (a) A (diffeological)  **$k$ -form**  $\beta$  on  $Y$  is a functional which sends each plot  $Q : V \rightarrow Y$  to an ordinary  $k$ -form on  $V$ , denoted  $Q^*\beta$  (note special  $\star$ ). As compatibility, we require: if  $\phi \in C^\infty(U, V)$  (so  $Q \circ \phi$  is another plot), then

$$(Q \circ \phi)^*\beta = \phi^*Q^*\beta, \quad \phi^* : \text{ordinary pull-back.}$$

- (b) Its **pull-back**  $F^*\beta$  by a smooth map  $F : X \rightarrow Y$  is the  $k$ -form on  $X$  defined by: if  $P$  is a plot of  $X$  (so  $F \circ P$  is a plot of  $Y$ ), then

$$P^*F^*\beta = (F \circ P)^*\beta, \quad F^* : \text{being defined.}$$

- (c) Its **exterior derivative**  $d\beta$  is the  $(k + 1)$ -form defined by: if  $Q$  is a plot of  $Y$ , then  $Q^*d\beta = d[Q^*\beta]$ , with ordinary  $d$  on the right-hand side.

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- The *de Rham complex*  $(\Omega^\bullet(Y), d)$  is the sum over  $k$  of the spaces  $\Omega^k(Y)$  of  $k$ -forms on  $Y$ , endowed with the differential **(c)**, which satisfies  $d^2 = 0$  since the ordinary  $d$  does. Its cohomology is the *de Rham cohomology*  $H_{\text{dR}}^\bullet(Y)$ .

- **(a,b,c)** easily imply, for all  $k$ -forms  $\beta$  and smooth maps  $F, G$ :

$$(F \circ G)^*\beta = G^*F^*\beta, \quad d[F^*\beta] = F^*d\beta. \quad \spadesuit$$

- If  $Y$  is a Euclidean open set (resp. a manifold) and  $\beta \in \Omega^k(Y)$ , applying **(a)** to the plot  $\text{id}_Y$  (resp. to *charts*  $V \rightarrow Y$ ) easily implies that there is a unique ordinary  $k$ -form  $b$  such that  $Q^*\beta$  and  $Q^*d\beta$  are always the ordinary  $Q^*b$  and  $Q^*db$ .
- So we may (and will) confuse ordinary  $k$ -forms and operations with diffeological ones. Then we can retire the special  $\star$ , so that **(a,b,c)** become special cases of  $\spadesuit$ .

### 3. Souriau's criterion

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There is a basic criterion for when a  $k$ -form descends to a quotient. Recall that a **subduction** between diffeological spaces is a smooth surjection  $s : X \rightarrow Y$  such that  $Y$  has precisely the quotient diffeology.

#### Theorem (Souriau's criterion, 1985)

Let  $s : X \rightarrow Y$  be a subduction, and  $\alpha \in \Omega^k(X)$ . In order that  $\alpha = s^*\beta$  for some  $\beta \in \Omega^k(Y)$ , it is necessary and sufficient that all pairs of plots  $P, Q$  of  $X$  satisfy:

$$s \circ P = s \circ Q \quad \Rightarrow \quad P^*\alpha = Q^*\alpha. \quad \heartsuit$$

Moreover  $\beta$  is then unique, i.e. pull-back  $s^* : \Omega^k(Y) \rightarrow \Omega^k(X)$  is injective.

*Comments on the proof.* Necessity is clear: if  $\alpha = s^*\beta$ , we have

$$P^*\alpha = P^*s^*\beta = (s \circ P)^*\beta,$$

$$Q^*\alpha = Q^*s^*\beta = (s \circ Q)^*\beta$$

by definition of  $s^*$ ;  $\heartsuit$  follows. Proving the rest takes about 2 pages.  $\square$

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Our goal is:

### Theorem

Let  $H$  be a dense subgroup of a Lie group  $G$  with Lie algebra  $\mathfrak{g}$ . Then  $\mathfrak{h} := \{Z \in \mathfrak{g} : \exp(tZ) \in H \text{ for all } t \in \mathbf{R}\}$  is an ideal in  $\mathfrak{g}$ , and giving  $X = G/H$  the quotient diffeology, we have isomorphisms

$$(\Omega^\bullet(X), d) = (\wedge^\bullet(\mathfrak{g}/\mathfrak{h})^*, d) \quad \text{and hence} \quad H_{\text{dR}}^\bullet(X) = H^\bullet(\mathfrak{g}/\mathfrak{h}).$$

Here the right-hand sides are the Chevalley-Eilenberg complex of  $\mathfrak{g}/\mathfrak{h}$  and its cohomology, whose definitions we will review during the proof.

*Sketch of proof.*  $H$  is canonically a Lie group, with Lie algebra as above: see Bourbaki, who in effect show  $(H, \text{subset diffeology})$  is a manifold in our sense. Then, as  $H$  is dense and normalizers are closed, we have

$$G \text{ normalizes } \mathfrak{h} : \quad g \cdot \mathfrak{h} \cdot g^{-1} = \mathfrak{h} \quad \text{for all } g \in G. \quad \diamond$$

Deriving this at  $e$  one obtains that  $\mathfrak{h}$  is an ideal, i.e.  $[\mathfrak{g}, \mathfrak{h}] \subset \mathfrak{h}$ .

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The core of the proof is the next proposition, where we will write:

- $\Pi : G \rightarrow X$  for the natural projection,  $\Pi(q) = qH$ ,
- $L_g : G \rightarrow G$  for left translation,  $L_g(q) = gq$ ,
- $R_g : G \rightarrow G$  for right translation,  $R_g(q) = qg$ ,
- $g \cdot v := DL_g(q)(v)$  and  $v \cdot g := DR_g(q)(v)$ , whenever  $v \in T_qG$ .

## Proposition

*Pull-back via  $\Pi$  defines a bijection  $\Pi^*$  from  $\Omega^k(X)$  onto the set of those  $\mu \in \Omega^k(G)$  that are*

- (a) right-invariant:  $R_g^*\mu = \mu$  for all  $g \in G$ ;
- (b)  $\mathfrak{h}$ -horizontal:  $\mu(Z_1, \dots, Z_k) = 0$  whenever one of the  $Z_j \in \mathfrak{g}$  is in  $\mathfrak{h}$ .

*Proof.* Suppose  $\mu = \Pi^*\alpha$  for some  $\alpha \in \Omega^k(X)$ . Let us prove (a):

- $\Pi \circ R_h = \Pi$  implies  $R_h^*\Pi^*\alpha = \Pi^*\alpha$  for all  $h \in H$ .
- As  $H$  is dense, the same holds for all  $g \in G$ ; so  $\mu$  is right-invariant.



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Now let us prove (b):

- Consider the plots  $P, Q : \mathfrak{g} \times \mathfrak{h} \rightarrow G$  sending  $u = (Z, W)$  to

$$P(u) = \exp(Z), \quad \text{resp.} \quad Q(u) = \exp(Z) \exp(W).$$

(To get *literal* plots, use bases to identify  $U := \mathfrak{g} \times \mathfrak{h}$  with  $\mathbf{R}^m$ .)

- Clearly  $\Pi \circ P = \Pi \circ Q$ . So by Souriau's criterion  $P^*\mu = Q^*\mu$ .
- Which when evaluated on vectors  $(Z_i, W_i) \in T_{(0,0)}U$  yields

$$\mu(Z_1, \dots, Z_k) = \mu(Z_1 + W_1, \dots, Z_k + W_k),$$

whence (b) by choosing  $W_j = -Z_j$ .

Conversely, let  $\mu \in \Omega^k(G)$  satisfy (a) and (b), and let  $P, Q : U \rightarrow G$  be any two plots with  $\Pi \circ P = \Pi \circ Q$ . We must show that  $P^*\mu = Q^*\mu$ :

- Note  $R(u) := P(u)^{-1}Q(u)$  defines a plot  $R : U \rightarrow H$ .

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- Then  $P \times Q \times R$  is an ordinary smooth map sending  $u \in U$  to

$$(P(u), Q(u), R(u)) =: (g, gh, h).$$

- Its derivative at  $u$  sends each  $\delta u \in T_u U$  to a tangent vector

$$D(P \times Q \times R)(u)(\delta u) =: (\delta g, \delta[gh], \delta h)$$

in  $T_{(g,gh,h)}(G \times G \times H)$ .

- Now  $\delta[gh] = \delta g \cdot h + g \cdot \delta h$ . Hence, given  $\delta_1 u, \dots, \delta_k u \in T_u U$ ,

$$\begin{aligned} \delta_i[gh] \cdot (gh)^{-1} &= [\delta_i g \cdot h + g \cdot \delta_i h] \cdot (gh)^{-1} \\ &= \delta_i g \cdot g^{-1} + g \cdot \delta_i h \cdot h^{-1} \cdot g^{-1}. \end{aligned}$$



- As  $G$  normalizes  $\mathfrak{h}$ , the term  $W_i := g \cdot \delta_i h \cdot h^{-1} \cdot g^{-1}$  above is in  $\mathfrak{h}$ .

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Thus

$$\begin{aligned} (Q^*\mu)(\delta_1 u, \dots, \delta_k u) &= \mu(\delta_1[gh], \dots, \delta_k[gh]) \\ &= \mu(\delta_1[gh] \cdot (gh)^{-1}, \dots, \delta_k[gh] \cdot (gh)^{-1}) && \text{by (a)} \\ &= \mu(\delta_1 g \cdot g^{-1} + W_1, \dots, \delta_k g \cdot g^{-1} + W_k) && \text{by } \clubsuit \\ &= \mu(\delta_1 g \cdot g^{-1}, \dots, \delta_k g \cdot g^{-1}) && \text{by (b)} \\ &= \mu(\delta_1 g, \dots, \delta_k g) && \text{by (a)} \\ &= (P^*\mu)(\delta_1 u, \dots, \delta_k u). \end{aligned}$$

Hence by Souriau's criterion  $\mu$  is in the image of the injection  $\Pi^*$ .  $\square$

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- Lie algebra cohomology is traditionally defined using *left*-, not right-invariant forms. So we need to pass from one to the other.
- For that we simply pull back by the inversion map,  $\text{inv}(g) = g^{-1}$ . Indeed the relation  $\text{inv} \circ L_g = R_{g^{-1}} \circ \text{inv}$  implies that  $\mu \in \Omega^k(G)$  is right-invariant iff  $\omega = \text{inv}^* \mu$  is left-invariant. Also  $\text{inv}^*$  preserves  $\mathfrak{h}$ -horizontality, because  $g \mapsto g^{-1}$  has derivative  $Z \mapsto -Z$  at  $e$ . Thus we have:

## Corollary

*Pull-back via  $\check{\Pi} := \Pi \circ \text{inv}$  defines a bijection  $\check{\Pi}^* = \text{inv}^* \Pi^*$  from  $\Omega^k(X)$  onto the set of those  $\omega \in \Omega^k(G)$  that are*

- (a) left-invariant:  $L_g^* \omega = \omega$  for all  $g \in G$ ;
- (b)  $\mathfrak{h}$ -horizontal:  $\omega(Z_1, \dots, Z_k) = 0$  whenever one of the  $Z_j \in \mathfrak{g}$  is in  $\mathfrak{h}$ .

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Left-invariant forms make a subcomplex  $(\Omega^\bullet(G)^G, d)$  of  $(\Omega^\bullet(G), d)$  which depends only on  $\mathfrak{g}$ . Indeed  $\omega \in \Omega^k(G)^G$  satisfies, for all  $Z_i \in \mathfrak{g}$ ,

i) the defining relation  $\omega(g \cdot Z_1, \dots, g \cdot Z_k) = \omega(Z_1, \dots, Z_k)$ , which characterizes  $\omega$  by its value at the identity,  $\omega_e \in \wedge^k \mathfrak{g}^*$ .

ii) the *Chevalley-Eilenberg formula*

$$d\omega(Z_0, \dots, Z_k) = \sum_{0 \leq i < j \leq k} (-1)^{i+j} \omega([Z_i, Z_j], Z_0, \dots, \widehat{Z}_i, \dots, \widehat{Z}_j, \dots, Z_k)$$

which computes  $(d\omega)_e$  from  $\omega_e$  alone.

- Thus, taking this formula as the definition of a coboundary  $d$  on  $\wedge^\bullet \mathfrak{g}^*$ , we obtain a complex  $(\wedge^\bullet \mathfrak{g}^*, d)$  isomorphic to  $(\Omega^\bullet(G)^G, d)$  via  $\omega \mapsto \omega_e$ . Its cohomology is by definition the *Lie algebra cohomology*  $H^\bullet(\mathfrak{g})$ .
- We study the subcomplex  $\Omega^\bullet(G)_\mathfrak{h}^G$  of forms that are also  $\mathfrak{h}$ -horizontal; or equivalently its image  $(\wedge^\bullet \mathfrak{g}^*)_\mathfrak{h}$  defined inside  $\wedge^\bullet \mathfrak{g}^*$  by the same property (b).

## 6. Passage to $\Lambda^\bullet(\mathfrak{g}/\mathfrak{h})^*$

Recall that  $\mathfrak{h}$  is an ideal, and let  $\pi : \mathfrak{g} \rightarrow \mathfrak{g}/\mathfrak{h}$  be the natural projection.

### Lemma (elementary)

*Pull-back via  $\pi$  defines an isomorphism  $\pi^*$  from  $(\Lambda^\bullet(\mathfrak{g}/\mathfrak{h})^*, d)$  onto the subcomplex  $((\Lambda^\bullet \mathfrak{g}^*)_{\mathfrak{h}}, d)$  of  $(\Lambda^\bullet \mathfrak{g}^*, d)$ .*

Now, composing the three isomorphisms of complexes we have seen completes the proof of the theorem:

$$\begin{array}{ccc} \Omega^\bullet(G)_{\mathfrak{h}}^G & \xrightarrow{\omega \mapsto \omega_e} & (\Lambda^\bullet \mathfrak{g}^*)_{\mathfrak{h}} \\ \uparrow \check{\Pi}^* & & \uparrow \pi^* \\ \Omega^\bullet(X) & \dashrightarrow & \Lambda^\bullet(\mathfrak{g}/\mathfrak{h})^*. \quad \square \end{array}$$

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We shall detail our theorem's content in the extreme cases where  $H$  is dense and either ***D-discrete*** or ***D-connected***, i.e. discrete or connected in its Lie group topology (= D-topology of its subset diffeology).

### Corollary 1

*Suppose the dense subgroup  $H \subset G$  is D-discrete (a.k.a. totally arcwise disconnected: arc components are singletons). Then we have*

$$H_{\text{dR}}^{\bullet}(G/H) = H^{\bullet}(\mathfrak{g}).$$

*Moreover every Lie algebra cohomology ring  $H^{\bullet}(\mathfrak{g})$  occurs in this way.*

*Proof.*

- The a.k.a. is because the D-topology's connected components are the subset topology's *arc components* (Yamabe's theorem, see e.g. Hilgert–Neeb [2012](#)).
- The formula is our theorem, since  $\mathfrak{h} = \{0\}$ .

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- The “Moreover” is because a connected Lie group  $G$  always has countable dense subgroups  $H$  (Gelander–Le Maître 2017), and countable implies  $D$ -discrete (Iglesias-Zemmour 2013).  $\square$

Remarks:

- (a) Thus e.g.  $H^\bullet(\mathfrak{so}_3) = H_{\text{dR}}^\bullet(\text{SO}_3(\mathbf{R})/\text{SO}_3(\mathbf{Q}))$ .
- (b) *Uncountable* dense  $D$ -discrete subgroups also exist, e.g. in any connected nilpotent Lie group  $G$  (de Saxcé 2013). Our formula still covers those.
- (c) When  $G = V$  is the additive group of a vector space and  $H = \Lambda$  a dense  $D$ -discrete additive subgroup, the Chevalley–Eilenberg coboundary vanishes and we obtain a full exterior algebra,

$$H_{\text{dR}}^\bullet(V/\Lambda) = \wedge^\bullet V^*,$$

as was already proved by Iglesias-Zemmour (2013).



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## Corollary 2

Suppose the dense subgroup  $H \subset G$  is  $D$ -connected (a.k.a. arcwise connected). Then  $\mathfrak{g}/\mathfrak{h}$  is abelian and we have

$$H_{\text{dR}}^\bullet(G/H) = \wedge^\bullet(\mathfrak{g}/\mathfrak{h})^*.$$

Moreover the resemblance to the last remark is no accident: indeed, we can always rewrite  $G/H$  as a **quasitorus**  $V/\Lambda$ , where  $V = \mathfrak{g}/\mathfrak{h}$  and  $\Lambda$  is a countable dense additive subgroup.

*Proof.*

- The a.k.a. is again by Yamabe's theorem.
- Commutativity of  $\mathfrak{g}/\mathfrak{h}$  is a theorem of van Est (1951), also found in Bourbaki.
- The formula is our theorem, since the Chevalley–Eilenberg coboundary vanishes.
- To see “Moreover” we build the following commutative diagram:

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$$\begin{array}{ccccccc}
 & & 1 & & 1 & & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \Delta & \longrightarrow & \Gamma & \longrightarrow & \Lambda & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \tilde{H} & \longrightarrow & \tilde{G} & \longrightarrow & V & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & H & \longrightarrow & G & \longrightarrow & X & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 1 & & 1 & & 1
 \end{array}$$

- Row 3 defines  $X$  as the diffeological quotient  $G/H$ ; recall that  $H$  is normal by  $\diamond$ , and  $G$  is connected as the closure of  $H$ .
- For row 2, let  $\tilde{G} :=$  universal covering of  $G$ ,  $\tilde{H} :=$  its integral subgroup with Lie algebra  $\mathfrak{h}$ , and  $V := \tilde{G}/\tilde{H}$ .
- Then  $\tilde{H}$  is closed, and  $\tilde{H}$  and  $V$  are simply connected (Bourbaki). In particular  $V = \mathfrak{g}/\mathfrak{h}$ , as the unique simply connected Lie group with that abelian Lie algebra.

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$$\begin{array}{ccccccc}
 & & 1 & & 1 & & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \Delta & \longrightarrow & \Gamma & \longrightarrow & \Lambda & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \tilde{H} & \longrightarrow & \tilde{G} & \longrightarrow & V & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & H & \longrightarrow & G & \longrightarrow & X & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 1 & & 1 & & 1
 \end{array}$$

- For row 1, let  $\Gamma := \ker(\tilde{G} \rightarrow G)$ ,  $\Delta := \Gamma \cap \tilde{H}$ , and  $\Lambda := \Gamma/\Delta$ . These are countable (Hilgert–Neeb), and discrete in every sense.
- The five sequences  $\rightarrow$  are by construction *D-exact*: the subgroup and quotient in each have the subset and quotient diffeology.
- Then the Nine Lemma of Souriau (1985) says the diagram has a unique commutative completion by a sixth *D-exact* sequence  $--\rightarrow$ : in other words,  $X$  is also the diffeological quotient  $V/\Lambda$ .  $\square$

1. Diffeology

2. Diffeological de Rham complex

3. Souriau's criterion

4. Differential forms on  $G/H$ 

5. Passage to left-invariant forms

6. Passage to  $\wedge^*(\mathfrak{g}/\mathfrak{h})^*$ 

7. Examples

Final remark:

Our theorem also admits examples where  $H$  is dense but neither  $D$ -discrete nor  $D$ -connected. A simple one is, for irrational  $\alpha$ , the subgroup

$$H = \left\{ \begin{pmatrix} e^{it} & 0 \\ 0 & \pm e^{i\alpha t} \end{pmatrix} : t \in \mathbf{R} \right\} = H^+ \sqcup H^-$$

of the 2-torus  $\mathbf{T}^2$ . This has two  $D$ -components  $H^\pm$ , yet is connected because its already dense subgroup  $H^+$  is. Here van Est's theorem still gives  $\mathfrak{g}/\mathfrak{h}$  abelian, so we still have  $H_{\text{dR}}^\bullet(G/H) = \wedge^\bullet(\mathfrak{g}/\mathfrak{h})^*$ .

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End!